

Study 3.11

FISH ENTRAINMENT

August 2011

1.0 Project Nexus

Yuba County Water Agency's (YCWA or Licensee) continued operation and maintenance (O&M) of the existing Yuba River Development Project (Project) has a potential to affect fish due to entrainment into Project intakes.

2.0 Resource Management Goals of Agencies with Jurisdiction over the Resource to be Studied

YCWA believes that four agencies have jurisdiction over fish in the geographic area covered in this study proposal: 1) the United States Department of Agriculture, Forest Service (Forest Service) on National Forest System (NFS) land; 2) United States Department of Interior, Fish and Wildlife Service (USFWS); 3) California Department of Fish and Game (CDFG); and 4) State Water Resources Control Board Division of Water Rights (SWRCB). Each of these agencies and their jurisdiction, as understood by YCWA at this time, is discussed below.

Forest Service

The Forest Service's jurisdiction and applicable management goals are described by the Forest Service from page 59 to 76 in the Forest Service's March 2, 2011 letter to FERC providing the Forest Service's comments on YCWA's Pre-Application Document, or PAD (YCWA 2010). The Forest Service's jurisdiction and management goals are not repeated here.

USFWS

USFWS's jurisdiction and goals and objectives are described by USFWS on pages 1 through 3 of USFWS's March 7, 2011 letter to FERC that provided USFWS's comments on YCWA's PAD. USFWS's jurisdiction, goals and objectives are not repeated here.

CDFG

CDFG's jurisdiction is described by CDFG on page 1 of CDFG's March 2, 2011 letter to FERC providing CDFG's comments on YCWA's PAD. CDFG's goal, as described on page 2 of CDFG's letter is to preserve, protect, and as needed, to restore habitat necessary to support native fish, wildlife and plant species.

SWRCB

SWRCB has authority under the federal Clean Water Act (33 U.S.C. §11251-1357) to restore and maintain the chemical, physical and biological integrity of the Nation's waters. Throughout the relicensing process the SWRCB maintains independent regulatory authority to condition the operation of the Project to protect water quality and the beneficial uses of stream reaches consistent with Section 401 of the federal Clean Water Act, the Regional Water Quality Control Board Basin Plans, State Water Board regulations, CEQA, and any other applicable state law.

3.0 Existing Information and Need for Additional Information

The Project includes 10 locations where a Project facility diverts water from a stream or reservoir to another location. Based on existing information, some of these intakes have a low potential to affect fish populations. For other project intakes, additional data gathering is needed to assess potential effects on fish populations. The sections below describes for each intake, the type of intake, the potential for entrainment effects on fish populations, and proposed entrainment related data gathering.

3.1 Intakes for which No Additional Data Gathering Is Proposed

3.1.1 Project Dam Low-Level Intakes

The Project includes five dam low-level intakes, each of which is described in Table 3.1-1. These are: 1) Our House Diversion Dam low-level intake; 2) Our House Diversion Dam auxiliary low-level intake; 3) Log Cabin Diversion Dam low-level intake; 4) Log Cabin Diversion Dam auxiliary low-level intake; and 5) New Bullards Bar Dam low-level intake. In each case, the low-level intake is at or near the bottom of the impoundment.

Only two of the low-level intakes, the low-level outlets at Our House and Log Cabin diversion dams, are routinely used (almost always in the fully open position to meet instream flow requirements). The other three low-level intakes are used in emergencies or if otherwise needed (e.g., during repairs of the low-level intake, or infrequent major outages).¹

Table 3.1-1. Description of Project’s five low-level intakes.

Intake Structure (From/To)	Conduit Size	Control Valve/Gate	Existing Minimum Release	Estimated Maximum Capacity at Full Pool
	(inches)	(type)	(cfs)	(cfs)
MIDDLE YUBA RIVER				
Our House Diversion Dam Low-Level Intake (Our House Diversion Dam Impoundment/Middle Yuba River Immediately Downstream of the Dam)	24 in diameter	Downstream Gate Valve Operated Manually	30 cfs from June 16 through April 14 and 50 cfs from April 15 through June 15, or natural inflow into Our House Diversion Dam Impoundment, whichever is less.	60 cfs. Minimum instream flow releases are normally made through this valve unless dam spill meets the minimum flow requirement.

¹ From 2005 through 2009, the Our House Diversion Dam Low-Level Auxiliary Intake has been exercised (i.e., tested during which the gates are quickly opened and closed) four times (i.e., March 23, April 10 and May 19, 2005; and January 3, 2006), and open for 200 days beginning on January 13, 2006, during which, with the approval of FERC, the Forest Service, CDFG and SWRCB Licensee removed sediment from Our House Diversion Dam Impoundment. Since 2005, the Log Cabin Diversion Dam Low-Level Auxiliary Intake has been exercised once (i.e., March 23, 2005), and was open for 19 days beginning on May 28, 2007.

Table 3.1-1. (continued)

Intake Structure (From/To)	Conduit Size	Control Valve/Gate	Existing Minimum Release	Estimated Maximum Capacity at Full Pool
	(inches)	(type)	(cfs)	(cfs)
MIDDLE YUBA RIVER (continued)				
Our House Diversion Dam Auxiliary Low-Level Intake (Our House Diversion Dam Impoundment/Middle Yuba River Immediately Downstream of the Dam)	72 in diameter	Upstream Slide Gate Operated Manually by a Motor On-Site		800 cfs. Used for emergencies only.
OREGON CREEK				
Log Cabin Diversion Dam Low-Level Intake (Log Cabin Diversion Dam Impoundment/Oregon Creek Immediately Downstream of the Dam)	18 in diameter	Downstream Gate Valve Operated by Hand	8 cfs from June 16 through April 14 and 12 cfs from April 15 through June 15, or natural inflow into Log Cabin Diversion Dam Impoundment, whichever is less.	13 cfs. Minimum instream flow releases are normally made through this valve unless dam spill meets the minimum flow requirement.
Log Cabin Diversion Dam Auxiliary Low-Level Intake (Log Cabin Diversion Dam Impoundment/Oregon Creek Immediately Downstream of the Dam)	72 in diameter	Upstream Slide Gate Operated by a Motor by a Motor On-Site		800 cfs. Used for emergencies only.
NORTH YUBA RIVER				
New Bullards Bar Dam Low-Level Intake (New Bullards Bar Reservoir/North Yuba River Immediately Downstream of the Dam)	72 in diameter	Downstream Hollow Jet Valve Operated Remotely	5 cfs at all times.	3,500 cfs, but actual maximum capacity is 1,250 cfs due to valve vibration. Minimum instream flow releases are normally made through the New Bullards Minimum Flow Powerhouse.

The spacing (i.e., opening between bars) in the trash racks in front of Our House and Log Cabin low-level intakes is 8.75 inches. The spacing in the trash racks in front of Our House and Log Cabin auxiliary low-level intakes is 12.375 inches. The spacing in the trash rack in front of the New Bullards Bar Dam Low-Level Intake is 5.0 inches.

A transition fishery² occurs in the vicinity Our House Diversion Dam. As described in Section 7.3.4.1 of the PAD, 2004 snorkeling surveys in the Middle Yuba River about 0.5 mile upstream of Our House Diversion Dam found rainbow trout (*Oncorhynchus mykiss*) and Sacramento pikeminnow/hardhead (*Ptychocheilus grandis/Mylopharodon conocephalus*) (the snorkelers were unable to distinguish between the two species); while about 0.5 mile downstream of the dam, the snorkelers found rainbow trout, Sacramento pikeminnow, hardhead, smallmouth bass (*Micropterus dolomieu*), and various sucker species (Family Catostomidae) (Gast et al. 2005). The general species composition upstream of the dam was confirmed by Nevada Irrigation District (NID) in 2008 and 2009 when its snorkeling surveys in the Middle Yuba River about 0.5 mile upstream of Our House Diversion Dam found Sacramento suckers, rainbow trout, and Sacramento pikeminnow (NID and PG&E 2010). NID did not find any hardhead, a California

² A transition fishery is one that includes both coldwater and warmwater fishes and is typically found in the Sierra in lower elevations where the fish community transitions from a coldwater fishery dominated by trout in the higher elevations to a warm water fishery in the lower elevations.

Department of Fish and Game (CDFG) Species of Concern and Forest Service Sensitive Species, in its sampling. CDFG does not stock fish in this area of the Middle Yuba River.

YCWA was unable to find any existing information regarding the fish community in Oregon Creek near Log Cabin Diversion dam, but the fish community is likely similar to that at Our House Diversion Dam. CDFG does not stock fish in Oregon Creek.

While YCWA was unable to find any recent fish studies of New Bullards Bar Reservoir, CDFG fish stocking records are very informative. The reservoir has a long history of annual fish stocking activities dating back to 1959 (Central Valley Fish Hatchery 1959; CDFG 1974). Between 1969 and 2007, about 5 million Kokanee salmon (*O. nerka*), nearly 1.6 million rainbow trout, just over 310,000 Eagle Lake rainbow trout, 40,000 brook trout (*Salvelinus fontinalis*), 200 eastern brook trout, 200 cutthroat trout (*Oncorhynchus clarki*), Kamloop rainbow trout, and 185 spotted bass (*Micropterus punctulatus*) were planted in New Bullards Bar Reservoir by CDFG (CDFG 1989, 2007). Besides these fishes, sport fishermen report catching in the reservoir largemouth bass (*M. salmoides*), smallmouth bass, redear sunfish (*Lepomis microlophus*), crappie (*Pomoxis sp.*), bluegill (*L. macrochirus*) and channel catfish (*Ictalurus punctatus*).

Based on the above information, the potential affects to fish populations due to possible entrainment into one or more of the above low-level intakes is low. No fishes listed as endangered or threatened under the federal Endangered Species Act (ESA) or California Endangered Species Act (CESA) are potentially affected, and hardhead, a California Species of Concern and Forest Service Sensitive Species, may or may not be affected: Gast et al. (2005) reported possible observing some in 2004 but NID did not find any in 2008 and 2009. Second, any fish entrained into either Our House or Log Cabin diversion dam low-level intakes would not be damaged since they would simply pass unimpeded (i.e., not pass through any valves) to the river downstream of the dam. Potential entrainment effects related to Our House and Log Cabin diversion dam auxiliary intakes and the New Bullards Bar Dam low-level intake would be very short-term since these intakes are used on a very infrequent basis. Further, with regards to the New Bullards Bar Dam low-level intake, the potential for fish to be entrained during its infrequent use is low because the intake is located at elevation 1,447.7 ft in the reservoir, over 508 feet below the reservoir surface at full pool (El. 1,956 ft), where fish normally do not congregate.

Given the low potential to entrain native fish, the fish populations potentially affected contain no special-status, ESA-listed or CESA-listed fishes with the possible exception of hardhead, and the fish that may be entrained through intakes that are normally used would not be damaged, no additional data gathering regarding entrainment effects at the Project's five low-level intakes is proposed.

3.1.2 Project Power Diversions

The Project includes three water diversions, each of which terminates at a powerhouse or a powerhouse bypass. These are 1) New Bullards Minimum Flow Powerhouse Penstock, 2) New Colgate Power Tunnel and 3) Narrows 2 Powerhouse Penstock. Table 3.1-2 provides information regarding the conduits, and Figures 3.1-1 and 3.1-2 and 3.1-3 show the amount of

water diverted by each structure in representative normal, wet and dry water years. Figure 3.1-1 shows the New Colgate Power Tunnel Intake portals.

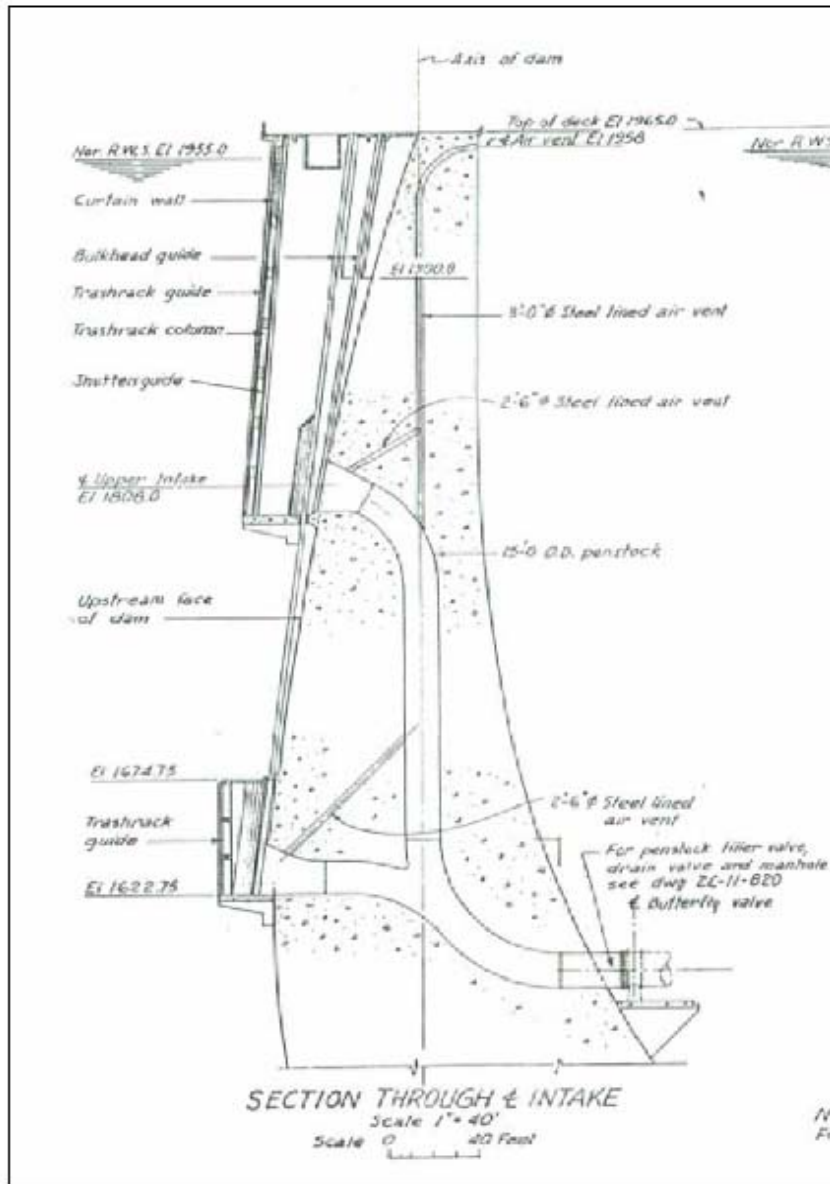


Figure B-2. Section Through New Bullards Bar Dam

Figure 3.1-1. New Colgate Power Tunnel Intake.

Table 3.1-2. Description of Project power diversions.

Intake Structure (From/To)	Conduit Description	Turbine Number and Type/Bypass Control Valve or Gate	Depth of of Intake Invert at Full Pool	Existing Minimum Release	Estimated Maximum Capacity
	(type & size)	(# and type)	(feet)	(cfs)	(cfs)
NORTH YUBA RIVER					
New Bullards Bar Minimum Flow Powerhouse Penstock (New Bullards Bar Reservoir/North Yuba Rive at base of New Bullards Bar Dam)	70-foot long, 12 in diameter steel pipe	1 Pelton Turbine/ No Powerhouse Bypass	508.5 ft deep (El, 1,447.5 ft as compared to full pool at El. 1,956 ft),	5 cfs at all times	6 cfs
New Colgate Power Tunnel and Penstock (New Bullards Bar Reservoir/ Yuba River)	5.2 miles long and composed of four different types of conveyance structures: an unlined horseshoe tunnel 26 feet square; an lined horseshoe tunnel 20 feet wide and 14.5 feet high; a lined circular tunnel 14 feet in diameter; and 2,809 feet of steel penstock with a diameter ranging from 9 feet to 14.5 feet.	2 Pelton Turbines/ No Powerhouse Bypass	Two openings in intake structure: <u>deeper opening</u> is 336 ft deep (El, 1,620 ft as compared to full pool at El. 1,956 ft) and <u>upper opening</u> is 148 ft deep (El, 1,808 ft as compared to full pool at El. 1,956 ft)	5 cfs at all times	3,500 cfs
YUBA RIVER					
Narrows 2 Powerhouse Penstock (USACE's Englebright Reservoir/Yuba River about 200 ft downstream of base on USEACE's Englebright Dam)	748 ft long composed of two sections: the first is a 376-ft long section 20 feet in diameter and concrete lined, and the second is a 372- ft long section 14 feet in diameter and steel lined.	1 Francis Turbine/ Two Powerhouse Bypasses: 1) a 78-inch diameter fixed cone valve; and 2) a 36-inch diameter bypass valve.	86 ft deep (El. 439.0 ft as compared to full pool at El. 525 ft)	<u>Downstream of Narrows 1 and 2 Powerhouses:</u> ¹ Oct 16-10: 600-1,050 cfs Nov: 600-700 cfs Dec: 600-1,400 cfs Jan 1-15: 1,000-1,850 cfs	3,400 cfs through the Powerhouse, 3,000 cfs through the 78 inch Bypass Valve, and 650 cfs through the 36 inch Bypass Valve

¹ The Project FERC license includes a ramping rate below USACE's Englebright Dam (Article 33(f), and minimum flows requirements downstream of USACE's Daguerre Point Dam (Article 33(d).

YCWA has not used the upper gate on the New Colgate Power Tunnel and Penstock Intake since 1993 when YCWA convened a Temperature Advisory Committee to obtain more-refined recommendations for the operation of New Bullards Bar Reservoir's multilevel outlet. The committee was composed of YCWA, United States Fish and Wildlife Service (USFWS), and CDFG. After reviewing temperature model data and the operating options, USFWS and CDFG recommended that water releases from New Bullards Bar Reservoir be as cold as possible at all times. YCWA immediately implemented this recommendation and, since 1993, all controlled releases of water from New Bullards Bar Reservoir through New Bullards Bar Minimum Flow Powerhouse into the North Yuba River and through New Colgate Powerhouse into the Yuba River have been from the lower intake, which withdraws water from the coldest, deepest part of the New Bullards Bar Reservoir.

The spacing (i.e., opening between bars) in the trash racks in front of the New Bullards Bar Minimum Flow Powerhouse Penstock Intakes is 5.00 inches, and the spacing in the trash racks in front of the New Colgate Power Tunnel and Penstock Intake is 2.25 inches. The spacing in front of the Narrows 2 Powerhouse Penstock Intake is 4.1875 inches.

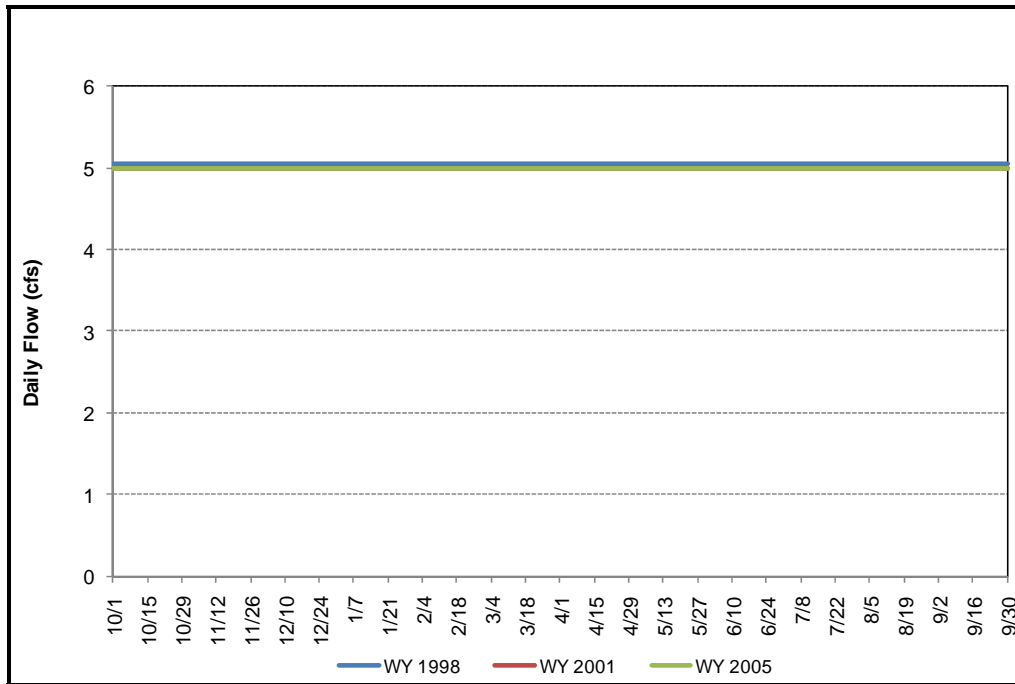


Figure 3.1-1. Mean Daily flow through New Bullards Minimum Flow Powerhouse Penstock in representative Normal (2005), Wet (1998) and Dry (2001) water years.

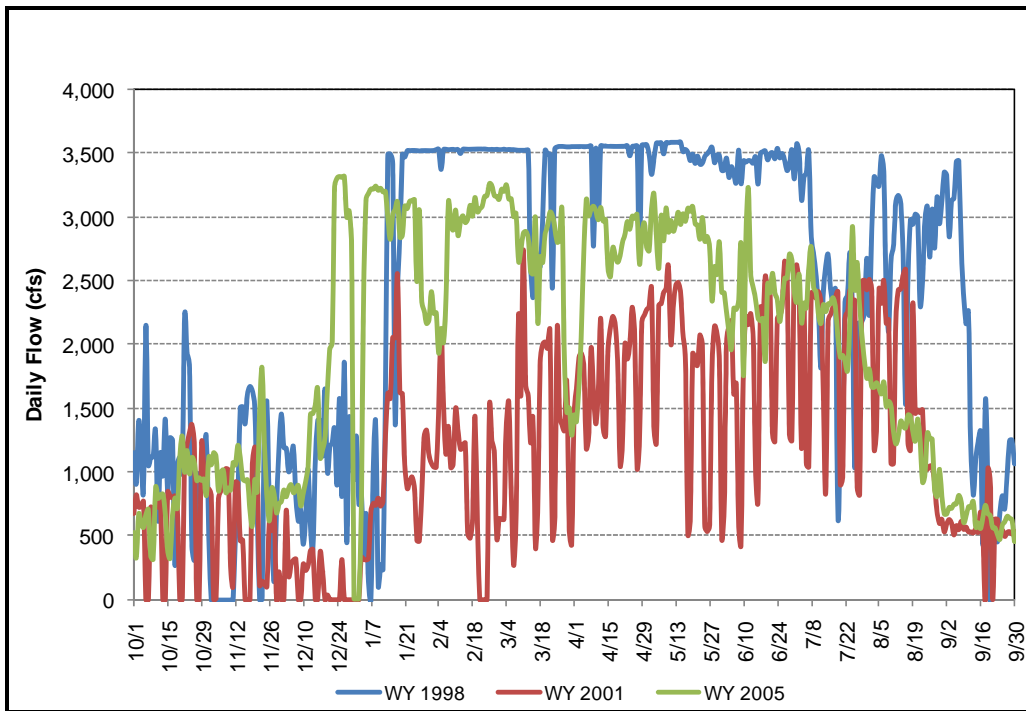


Figure 3.1-2. Mean daily flows through New Colgate Power Tunnel in representative Normal (2005), Wet (1998) and Dry (2001) water years.

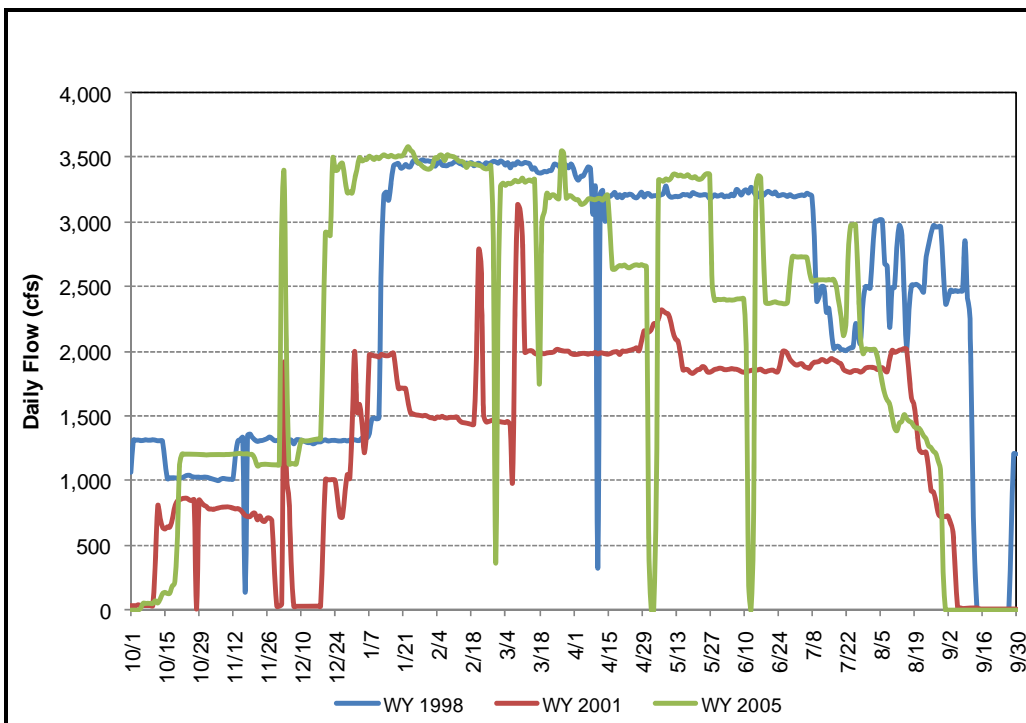


Figure 3.1-3. Mean daily flows through Narrows 2 Powerhouse Penstock in representative Normal (2005), Wet (1998) and Dry (2001) water years.

Included in Figure 3.1-3 is combined flow through the two Narrows 2 Powerhouse bypasses and the powerhouse. The 36-inch diameter valve was included in the original powerhouse design and the 78-inch diameter valve was added in 2007 to provide the capability to bypass flows of up to 3,000 cubic feet per second (cfs) around the Narrows 2 Powerhouse during times of full or partial powerhouse shutdown. Use of the bypass valves vary by year. Prior to installation of the 72-inch diameter valve in 2007, the 36-inch diameter valve was used for 34 days in 2005 (average flow of 103 cfs) and 15 days in 2006 (130 cfs). Since 2006, the two bypass valves were used, either separately or in combination, for 89 days in 2007 (combined average flow of 695 cfs), 166 days in 2008 (177 cfs) and 201 days in 2009 (193 cfs).

As described above, fish population data is limited but information available at this time identifies the fish community in New Bullards Bar Reservoir as a stocked fishery composed of Kokanee, rainbow trout, Eagle Lake rainbow trout, brook trout, eastern brook trout, cutthroat trout, Kamloop rainbow trout and spotted bass. Other fishes known to occur in the reservoir include largemouth bass, smallmouth bass, red ear sunfish, crappie, bluegill sunfish and channel catfish. CDFG has conducted surveys of fish in old and New Bullard's Bar reservoirs since the 1950s. A 1959 survey of fish species in the old Bullard's Bar Reservoir found 12 species of fish including bass, crappie, sunfish, bluegill, bullhead, shiners, Sacramento pikeminnow, sucker, and carp species. A subsequent summary report for CDFG fish survey activities in the reservoirs from 1959 through 1974 identified 16 species of fish as relatively common in the reservoirs, including smallmouth and largemouth bass, black crappie (*Pomoxis nigromaculatus*) white crappie (*Pomoxis annularis*), warmouth (*Lepomis gulosus*), green sunfish (*Lepomis cyanellus*) red-ear sunfish, bluegill, brown bullhead (*Ameiurus natalis*), Sacramento pikeminnow, Sacramento sucker (*Catostomus occidentalis*), common carp (*Cyprinus carpio*), rainbow trout, and Kokanee salmon (CDFG 1974). Brown trout and white catfish (*Ameiurus catus*) are noted as rare occurrences. Channel catfish, threadfin shad (*Dorosoma petenense*), and fathead minnow (*Pimephales promelas*) were reportedly planted in the reservoir prior to 1960, but were not captured during any surveys. Golden shiners (*Notemigonus crysoleucas*) were observed only in 1959 (Central Valley Fish Hatchery 1959; CDFG 1974). The first documented CDFG capture of trout was reported in 1970 (CDFG 1963, 1970). Kokanee salmon were first documented during CDFG survey efforts in 1972 (CDFG 1963, 1970, 1972).

Like for the fishery in New Bullards Bar Reservoir, YCWA was unable to find any recent fish population studies in the United States Army Corps of Engineer's (USACE) Englebright Reservoir, but CDFG fish stocking records are very informative. As with New Bullards Bar Reservoir, the USACE's Englebright Reservoir has a long history of annual fish stocking activities dating back to 1959 (Central Valley Fish Hatchery 1959; CDFG 1974). CDFG stocking records indicate that fish plantings in the USACE's Englebright Reservoir have taken place from 1965 through 2007. During this period, just over 756,000 rainbow trout, 228,320 Kokanee, 6,973 lake trout, nearly 28,000 brown trout (*Salmo trutta*), 4,000 Eagle Lake rainbow trout, 2,640 brook trout, 45 white crappie (*Pomoxis annularis*), and 80 black crappie (*P. nigromaculatus*) were planted (CDFG 2007). Stocked species were primarily from the Shasta and San Joaquin hatcheries. Creel surveys conducted from July 2003 through May 2004 documented 12 sport fish species in USACE's Englebright Reservoir, including spotted bass, smallmouth bass, largemouth bass, bluegill, brown trout, rainbow trout, carp, channel catfish, crappie, Kokanee, sucker, yellow perch (*Perca flavescens*), and Sacramento pikeminnow

(CDWR 2006). Additionally, Englebright Reservoir has a known self-sustaining population of Hardhead (J. Rowan, pers. comm., 2011).

Based on the above information, the potential effects to fish populations due to entrainment into one or more of the above power tunnels intakes is low. First, the native fish populations that would be affected are primarily stocked fish used to support a put-and-take fishery. There is a reported occurrence of hardhead in Englebright Reservoir, but hardhead are not a deepwater species and their life history describes them being in much shallower depths. There are no reported occurrences of, ESA-listed or CESA-listed fishes in the reservoirs. Second, the intakes occur deep in each reservoir where it is unlikely that fish congregate. However, fish population assessments have not been conducted to identify the species and age classes of this reservoir community.

Given the low potential to entrain fish and since the fish populations potentially affected are not known to include ESA-listed or CESA-listed fishes and the reservoirs support a put-and-take fishery, no additional data gathering regarding entrainment effects at the Project's three power intakes is proposed.

3.2 Intakes for which Additional Data Gathering Is Proposed

3.2.1 New Bullards Bar and USACE's Englebright Reservoir

Entrainment monitoring is currently not proposed at either New Bullards Bar or USACE's Englebright Reservoir. Reservoir fish population sampling will be conducted near each dam's intakes up to a depth of 100 ft. This sampling will help characterize deepwater fish populations in both reservoirs and be used for any future discussions of entrainment at either dam facility.

3.2.2 Project Non-Power Diversion Intakes

The Project includes two non-power diversions intakes: 1) Lohman Ridge Tunnel; and 2) Camptonville Diversion Tunnel. Both diversions are from small impoundments (<200 acres), and the water conduits are composed entirely of underground tunnel except in the immediate vicinity of the intake and outlet where the tunnel daylight. Table 3.2-1 provides information regarding the conduits, and Figures 3.2-1 and 3.2-2 show the amount of water diverted by each structure in representative normal, wet and dry water years. Since flow into the tunnels was not gaged prior to Water Year 1989, the 1988 data in Figures 3.2-1 and -2 are the result of a synthesis.

The spacing (i.e., opening between bars) in the trash racks in front of the Lohman Ridge and Camptonville diversion tunnel intakes is 10.625 inches and 11.0 inches, respectively.

Table 3.2-1. Description of Project’s non-power diversion intakes.

Intake Structure (From/To)	Dimensions and Type	Intake Structure	Outlet Structure	Estimated Maximum Capacity
	(feet and type)	(type)	(type)	(cfs)
MIDDLE YUBA RIVER				
Lohman Ridge Diversion Tunnel Intake (Our House Diversion Dam Impoundment on Middle Yuba River/Log Cabin Diversion Dam Impoundment on Oregon Creek)	12.5 ft high by 12.5 ft wide, 19,410 feet (90% unlined and 10% lined) Tunnel	15 ft high by 12 ft wide concrete structure with a trash rack and slide gate operated manually by a motor on-site	15 ft high by 12 ft wide concrete structure: no control or enclosure (e.g., rack or fence)	860 cfs
OREGON CREEK				
Camptonville Diversion Tunnel Intake (Log Cabin Diversion Dam Impoundment on Oregon Creek/New Bullards Bar Reservoir on North Yuba River)	6,107 ft Tunnel. First 4,275-ft section is an unlined, horseshoe tunnel 14.5 ft wide by 14.5 ft high, and the second 1,832-ft section is a lined 11.7 ft wide by 13 ft high horseshoe tunnel.	14.5 ft high by 14.5 ft wide concrete structure with a trash rack and slide gate operated manually by a motor on-site	13 ft high by 11.7 ft wide concrete structure: no control or enclosure (e.g., rack or fence)	1,100 cfs (Includes direct diversion of natural flow in Oregon Creek and re-diversion of water from Middle Yuba River through Lohman Ridge Diversion Tunnel into the Log Cabin Impoundment.)

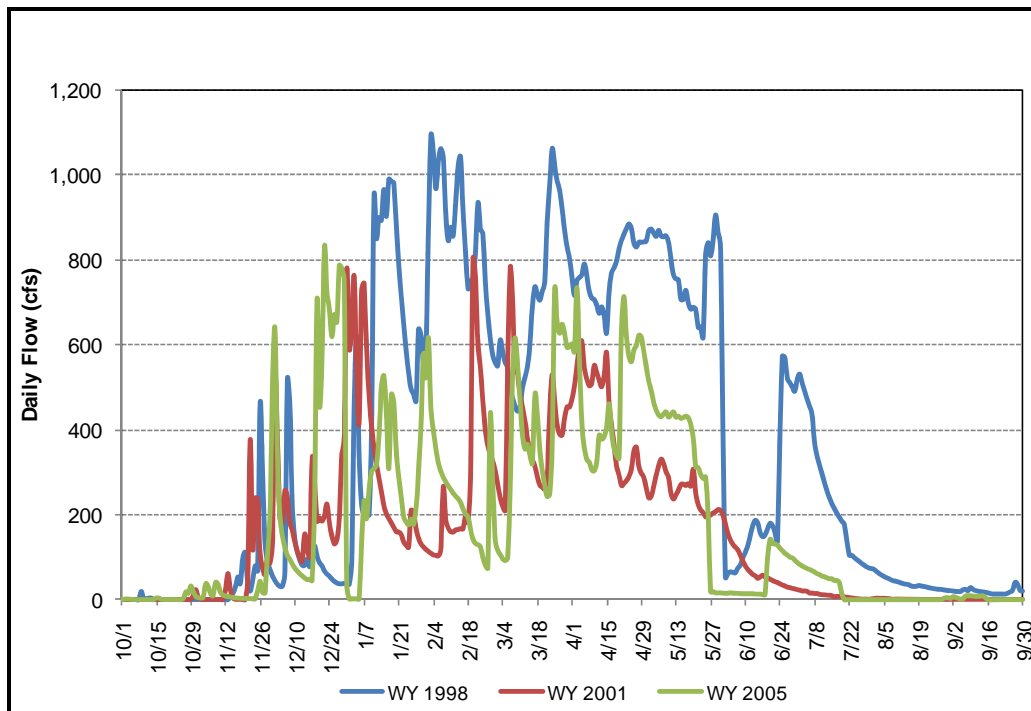


Figure 3.2-1. Mean daily flows in Lohman Ridge Diversion Tunnel in representative Normal (2005), Wet (1998) and Dry (2001) water years.

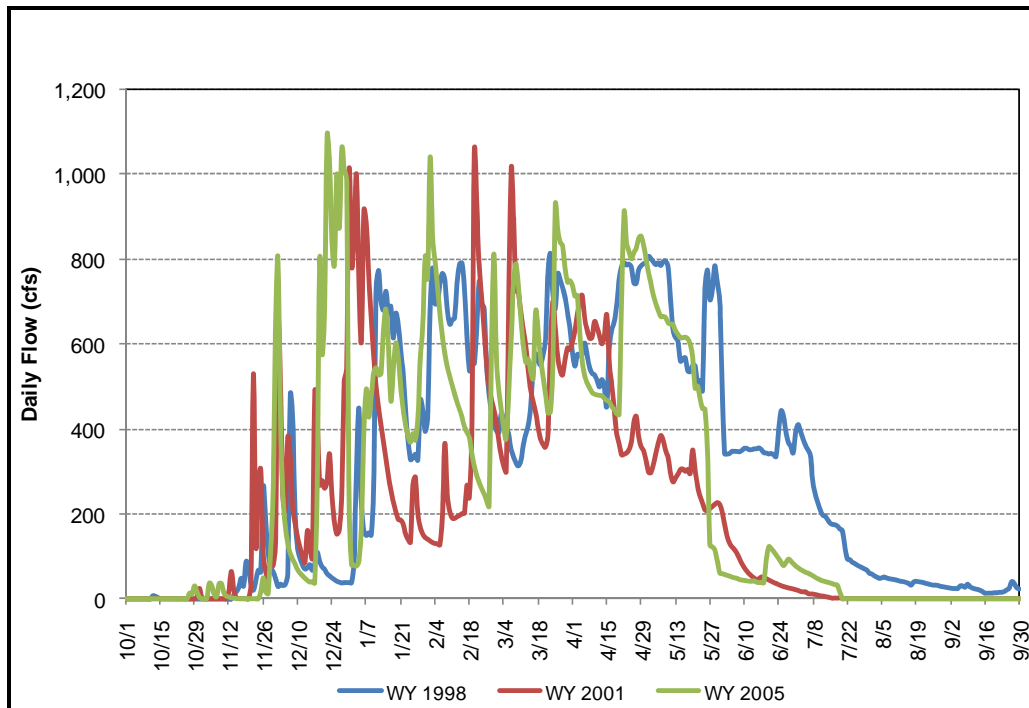


Figure 3.2-2. Mean daily flows in Camptonville Diversion Tunnel in representative Normal (2005), Wet (1998) and Dry (2001) water years.

As described above, the fish community potentially affected by entrainment into the Lowman Ridge Diversion Tunnel Intake is a transition fishery with no special-status, ESA-listed or CESA-listed fishes with the possible exception of hardhead (CSC). Little information is known concerning the potentially-affected fish community in Oregon Creek, but the fish community is likely composed of the same fish as near the Lohman Ridge Diversion Tunnel intake.

While the two tunnels generally do not divert water from around mid July through October, significant amounts of water are diverted at other times of the year. Given the volume of water diverted by the two intakes, the potential for fish to be entrained is high when the diversions occur, which could affect local fish populations.

4.0 Study Goals and Objectives

The goal of this study is to determine if the withdrawal of water at the Project’s Lohman Ridge and Camptonville Diversion tunnel intakes are likely to have adverse effects on native fish populations.

The objective of this study is to gather the information necessary to address the study.

5.0 Study Methods and Analysis

5.1 Study Area

The study area includes the Middle Yuba River in the immediate vicinity of Our House Diversion Dam and Oregon Creek in the immediate vicinity of the Log Cabin Diversion Dam.

If YCWA proposes an addition to the Project, the study area will be expanded if necessary to include areas potentially affected by the addition.

YCWA will obtain all necessary permits prior to performing fieldwork.

5.2 General Concepts

The following general concepts and practices apply to the study:

- Personal safety is the most important consideration of each fieldwork team.
- Licensee will make a good faith effort to obtain permission to access private property where needed well in advance of entering the property.
- Field crews may make minor variances to the FERC-approved study in the field to accommodate actual field conditions and unforeseen problems. When minor variances are made, Licensee's field crew will follow the protocols in the FERC-approved study.
- When Licensee becomes aware of major variances to the FERC-approved study, Licensee will issue an e-mail to the Relicensing Contact List describing the variance and reason for the variance. Licensee will contact by phone the Forest Service (if the variance is on National Forest System land), USFWS, SWRCB and CDFG to provide an opportunity for input regarding how to address the variance. Licensee will issue an e-mail to the Relicensing Contact List advising them of the resolution of the variance. Licensee will summarize in the final study report all variances and resolutions.
- Licensee's performance of the study does not presume that Licensee is responsible in whole or in part for measures that may arise from the study.
- Global Positioning System (GPS) data will be collected using either a Map Grade Trimble GPS (sub-meter data collection accuracy under ideal conditions), a Recreation Grade Garmin GPS unit (3 meter data collection accuracy under ideal conditions), or similar units. GPS data will be post-processed and exported from the GPS unit into Geographic Information System (GIS) compatible file format in an appropriate coordinate system using desktop software. The resulting GIS file will then be reviewed by both field staff and Licensee's relicensing GIS analyst. Metadata will be developed for deliverable GIS data sets. Upon request, GIS maps will be provided to agencies in a form, such as ESRI Shapefiles, GeoDatabases, or Coverage with appropriate metadata, that is useful for interactive data

analysis and interpretation. Metadata will be Federal Geographic Data Committee (FGDC) compliant.³

- Licensee's field crews will record incidental observations of aquatic and wildlife species observed during the performance of this study. All incidental observations will be reported in the appropriate Licensee report (e.g., incidental observations of special-status fish recorded during fieldwork for the Special-Status Turtles – Western Pond Turtle Study will be reported in Licensee's Stream Fish Populations Study report). The purpose of this effort is not to conduct a focus study (no effort in addition the specific field tasks identified for the specific study) or to make all field crews experts in identifying all species, but only to opportunistically gather data during the performance of the study.
- Field crews will be trained on and provided with materials (e.g. Quat-128 [didecyl dimethyl ammonium chloride], scrub brush, etc.) for decontaminating their boots, waders, and other equipment between study sites. Major concerns are amphibian chytrid fungus (*Batrachochytrium dendrobatidis*), and invasive invertebrates (e.g. zebra mussels, *Dreissena polymorpha*). This is of primary importance when moving: 1) between tributaries and mainstem reaches; 2) between basins (e.g. Middle Yuba River, Yuba River and North Yuba River); and 3) between isolated wetlands or ponds and river or stream environments.

5.3 Study Methods

The study methods will consist of the following four steps, each of which is described below.

5.3.1 Step 1 – Collect, Tag and Release Fish

YCWA will conduct four field efforts in March 2011, two each in Our House Diversion Dam and Log Cabin Diversion Dam impoundments, to collect up to 30 8 inch or longer rainbow trout in each impoundment. Sampling will be performed using hook and line, gill nets, and/or fyke nets. Uninjured captured fish will be radio tagged and released immediately into the impoundment from which they were captured. For each rainbow trout caught, YCWA will record length and weight, time the fish was captured and released, condition, and the radio tag frequency.

YCWA currently is planning to use a Lotek NanoTag radio transmitter, which will be surgically inserted in the peritoneal cavity of the rainbow trout following standard methods outlined in Moore et al. (1990 a, b). Radio transmitters are highly effective at tracking individual fish in freshwater at monitoring depths up to 150 to 200 feet, depending upon conductivity (Shroyer and Logsdon 2009).

If YCWA is unable to capture the target fish in the impoundment in the two sampling events, YCWA will seek permission from CDFG to tag and release hatchery fish to meet the target number of 30 fish in the impoundment in the same time frame.

³ The Forest Service and CDFG each have requested that a copy of the GIS maps be provided to them when the maps are available.

5.3.2 Step 2 – Track Fish Movement

YCWA will begin tracking the movement of radio tagged fish as soon as they are released and continue tracking for the term of the expected life of the radio tag (~24 days). It is not currently known if a fixed monitoring station will be able to be fitted into the tunnel intakes and outlets, but the logistics of that installations will be investigated. Both fixed monitoring stations and mobile monitoring will occur. The configuration of the monitoring will be determined after a logistical assessment is completed, but the configuration will be able to determine movement in the impoundment and entrainment into the tunnel, if it occurs. Mobile tracking will be conducted 5 days a week for the monitoring period to identify fish positions. If it is determined that fixed monitoring stations are feasible, monitoring at those stations would likely occur over 24 hour periods.

If a transmitter does not move for more than one day, the fish will be considered deceased and removed from the monitoring effort.

5.3.3 Step 3 – QA/QC and Analyze Data

YCWA will perform a quality assurance/quality control review of the data. The fish radio tracking data will be analyzed in combination with the results of YCWA’s Stream Fish Populations Study data to assess the potential for effects to rainbow trout stream populations due entrainment into the two diversion tunnels.

5.3.4 Step 4 – Prepare Report

YCWA will prepare a report that includes the following sections: 1) Study Goals and Objectives; 2) Methods and Analysis; 3) Results; 4) Discussion; and 5) Description of Variances from the FERC-approved study proposal, if any.

For all special-status fish observations, YCWA will complete and file the appropriate California Natural Diversity Database form.

6.0 Study-Specific Consultation

This study does not include any specific consultation unless the target number of fish can not be collected at each impoundment. In that case, YCWA will consult with CDFG regarding using hatchery fish to supplement the collected fish to perform the study.

7.0 Schedule

YCWA anticipates the schedule to complete the study as follows assuming the PAD is filed on November 1, 2010, and FERC issues its Study Determination by October 4, 2011:

Collect, Tag and Release Fish (Step 1)..... March 2012
Track Fish Movement (Step 2)..... March - April 2012

QA/QC and Analyze Data (Step 3).....August 2012
Prepare Report (Step 4) September 2012

8.0 Consistency of Methodology with Generally Accepted Scientific Practices

This study is consistent with the goals, objectives, and methods outlined for recent FERC hydroelectric relicensing efforts in California.

9.0 Level of Effort and Cost

YCWA believes the cost range estimate for this study in 2011 dollars to be from \$175,000 to \$235,000.

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